

Notice of meeting of

Audit & Governance Committee

To:	Councillors Pierce (Chair), Brooks (Vice-Chair), Crisp, Jamieson-Ball, Scott, Kirk and R Watson
Date:	Tuesday, 31 March 2009
Time:	5.30 pm
Venue:	The Guildhall, York

AGENDA

Note:

As agreed at previous meetings, the Chief Internal Auditor and District Auditor (Audit Commission) will be present in the meeting room from 5:00 pm to provide a private briefing for Members, if required.

1. **Declarations of Interest**

At this point Members are asked to declare any personal or prejudicial interests they may have in the business on this agenda.

2. **Minutes** (Pages 3 - 14)

To approve and sign the minutes of the meeting of the Audit & Governance Committee held on 13 January 2009.

3. **Public Participation**

At this point in the meeting members of the public who have registered their wish to speak regarding an item on the agenda or an issue within the remit of the Audit and Governance Committee can do so. Anyone who wishes to register or requires further information is requested to contact the Democracy Officer on the contact details listed at the foot of this agenda. The deadline for registering is **Monday, 30 March at 5:00 pm.**

4. Audit and Governance Committee Forward Plan 2009 (Pages 15 - 18)

This report presents the future plan of reports expected to be presented to the Committee during 2009/10.

5. Annual Audit Letter 2007/08 - Audit Commission (Pages 19 - 40)

This report introduces the Annual Audit Letter for 2007/08 prepared by the Audit Commission, together with the Council's response.

6. Financial Planning and Monitoring: Audit Commission Report (Pages 41 - 66)

This paper introduces a report prepared by the Audit Commission summarising the findings from a review of the Council's financial planning and financial monitoring procedures. The report on the financial planning process is based on the 2008/09 budget that was prepared between September 2007 and February 2008.

7. Corporate Governance Review 2009 (Pages 67 - 80)

This report provides the background and early draft outcomes of the recently completed Corporate Governance review, consisting of a self assessment against the CIPFA/SOLACE 'Delivering Good Governance in Local Government' framework (2007) and outcomes from annual assurance questionnaires completed by each directorate.

8. Risk Management Monitor Quarter 4 2008/09 (Pages 81 - 108)

This report presents the corporate risk management monitor for the fourth quarter of 2008/09 and invites Members to raise any questions or concerns they may have in relation to the risks contained within the monitor.

9. Follow Up of Audit Recommendations (Pages 109 - 132)

This report sets out the progress made by the Council in implementing agreed internal audit recommendations and summarises the progress made to address recommendations raised by the external auditor.

10. Draft Audit Plan Consultation (Pages 133 - 140)

This report seeks Members' views on the priority of internal audit work for 2009/10, to inform the preparation of the annual audit plan. A final version of the plan will be presented to the next Audit and Governance Committee.

11. Risk Management Policy and Strategy (Pages 141 - 156)

This report presents the Council's Corporate Risk Management Policy and Strategy for discussion and comment. The Policy and Strategy set out the Council's commitment to managing risk and support the organisation's overall governance arrangements.

12. Control of Assets and Draft Asset Disposal Procedure Rules (Pages 157 - 170)

This report presents the Council's draft asset disposal procedure rules for discussion and comment and outlines the improvement actions planned to improve the control of assets as agreed at the last Audit and Governance Committee meeting. The procedure rules set out the Council's commitment to good stewardship of its assets, including guidance in relation to lost and stolen items.

13. Urgent Business

Any other business which the Chair considers urgent under the Local Government Act 1972

Democracy Officer:

Name: Fiona Young

Contact details:

- Telephone – (01904) 551027
- E-mail – fiona.young@york.gov.uk

For more information about any of the following please contact the Democracy Officer responsible for servicing this meeting:

- Registering to speak
- Business of the meeting
- Any special arrangements
- Copies of reports

Contact details are set out above.